

Tentative Agenda  
University Senate Meeting  
November 4, 2002

- I. Approval of tentative agenda
- II. Approval of minutes from University Senate meeting on October 7, 2002
- III. President of the Senate Report (B.Walcott)
- IV. President's Report (S. Kenny)
- V. Provost's Report (R. McGrath)
- VI. Report from VP for Administration (R. Mann)
- VII. Report from Campus Environment (J. Robinson)
- VIII. Report from Steve Englebright
- IX. Report from SUNY Faculty Senate Plenary Session-SUNY Budgetary Matters (R. Srivastav)
- X. Old Business
- XI. New Business

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University Senate  
October 7, 2002

Recorded by Frank W. Fowler

The University Senate was called to order by Brent Lindquist at 3:34 PM. A motion was made, seconded and passed to approve the minutes.

A report from the University President was received.

Brent Lindquist reviewed the standing committees of the University Senate. He identified current chairs and outlined the current and proposed agendas of each of these committees. Because of the presentation to the Senate by the VP for Research it was of particular note that Research Committee has been revived as the sole advisory committee to the VP for Research and the Provost for research matters.

Gail Habicht, the Vice President for Research, made a presentation on the research and creative activity at Stony Brook. Her presentation consisted of three parts: (1) Research Foundation Funds, (2) Challenges and (3) Successes.

**Research Foundation Funds.** Total Research expenditures exceeded \$134M this year. Royalties were \$17M. The Research Enterprise returned \$26.8M to the Campus Financial Plan including a 20% bonus against the performance based module. These research expenditures lead the other SUNY campuses.

This statement brought up the question as to why SUNY Buffalo is often reported to be higher with respect to research expenditures. The VP answered that she was using the Research Foundation Data.

Stony Brook was higher than all other campuses combined with respect to Federal expenditures as reported by NSF.

The FY2001 Financial Structure:

1. Pass-through and Committed Funds	
1.1. DC Project Expenditures	\$ 91.9 M
1.2. Inventors' share of Royalties	\$ 5.6 M
2. Non-State Research Revenues	
2.1. F&A (IDC) Cost Recovery and Investments	\$ 28.6 M
2.2. Royalties Received (Excl Inventors)	\$ 8.5 M
3. State Tax Dollars	
3.1. GRI and Personnel	\$ 2.6 M
3.2. PBB-Related Funding	\$ <u>23.6 M</u>
	<b>GRAND TOTAL: \$160.8 M</b>

The formula for allocating the Indirect Cost is the following:  
54.5% Campus Financial Plan

- 10 % President
- 5% Rehab
- 9% Departments
- 4% Deans
- 1% Fine Arts and Humanities
- 5% VP for Research
- 11% Provost

The above statement raised the question of should this formula for IDC distribution be reviewed? It was commented that this might be a suitable task for the revised Senate Research Committee. Another question concerned allocations for undergraduate research. This was partially answered with the comment that both the VP for Research and the Provost contribute to undergraduate research.

**Challenges.**

- (a) Oracle-inaccurate, complex, inflexible and slow. \$25-30 has been spent so far, \$3-4 to Oracle and the rest to KPMG.
- (b) Increased Compliance Issues-Human subjects, animals, hazardous materials, and agents related to bio-terrorism. The University President has declared we will have a Culture of Compliance and Conscience.

This issue raised some questions. One was to give an example of conscience. The answer was the abuse of graduate students by having them work on problems associated with a company associated with a research advisor. Another question was directed at how we review our policies. The answer was that we are considering the review of our current conflict of interest policy and the University Senate will be involved. Another concerned the lack of consistency by the University on compliance issues. An answer (Maureen Kotlas) stated that the Feds are also changing their policies which made University consistency difficult.

**Successes.**

The VP for Research listed a number of successes related to research. Martin Schoonen has been appointed as the Associate Vice Provost for Research. His responsibility will be to coordinate interdisciplinary research. This issue brought up some questions and comments. The hope was expressed that the new Associate VP would work with Fine Arts and Humanities. There was also a concern that the organization of the Office of Sponsored Research along functional lines might not be the best and possibly this issue should be considered by the Senate Research Committee. The Senate Research Committee has been expanded. It will work with the VP for research to address issues of importance to research. There will be an orientation for new faculty organized by the Office of Sponsored Research. There was a question as to whether lecturers were invited and the answer was that they should be. There will be a new committee of professional staff created to advise the VP on technical matters. There will be a new Center of Excellence in Wireless Technology. STAR Center in Biomolecular Diagnostics and Therapeutics who's funding will be assisted by the Whitaker Foundation from 3 to possibly \$8M. Emerson String Quartet. Those organizing conferences should consider using it for music. NSF funding (\$11M) for infrastructure to study the deep interior of the earth. A Center for Environmental Molecular Science (\$10-11M) There were some questions and comments regarding the ground breaking, to which the governor has been invited, that will take place next to the child daycare center. Although it was stated that no building will be constructed on this site, on environmental grounds, there was concern about the ground breaking being held at this location. The rest of the discussion concerned Oracle. It was commented that PIs have lost funds because of this problem. The VP stated that a team is coming from the Research Foundation to talk with PIs about Oracle. Other comments and questions reflected the frustration of the VP for Research and the academic community over the Oracle problem. The University Senate adjourned at 4:40.

SUNY UNIVERSITY FACULTY SENATE  
Fall 2002 Plenary Meeting, Purchase, NY  
October 18-19, 2002

The following faculty members from Stony Brook attended the meeting:

Norman Goodman, Daniel Kinney, Ram Srivastav, George Tortora, and Benjamin Walcott.

This report is based upon the notes taken by Ram and his recollection of the proceedings and amended as necessary taking into account the comments of the other participants.

After the opening remarks of the faculty and the administrators of the host institution, Joseph Hildreth, the UFS President, described his vision of the Senate's role in enhancing the quality of education as being both proactive and reactive. He urged the System Administration and the faculty to emphasize consultation, communication and respect. The keynote speaker of the morning session was SUNY Trustee Randall Daniels, (also Secretary of State, State of New York). He professed a passion for Education and a commitment to increase access and diversity, and public funding of Education. He recalled personal experiences and exhorted the faculty to insist on high standards, providing extra help to those in need. The faculty would need to be more creative and entrepreneurial, as there are limits and budgetary constraints would force us to prioritize. Trustee Daniels appeared to support the faculty control of curriculum. Apparently, the Board of Trustees has agreed that GED is a faculty prerogative and should devolve on faculty and the System Administration will presume that the campus-developed guidelines conform to the guidelines for GED.

Chancellor King joined the senators, the campus governance leaders and other attendees for lunch. At that time, he spoke of the achievements of SUNY- enrollments and retention rate is up by 14%, research funding is up by 18%, recognition in popular press such as U.S. News and World Report, Kiplinger's etc. The financial picture is gloomy and SUNY would probably get a bigger hit to the budget than most other state agencies, as a result of loss of revenue due to WTC disaster. The Board of Trustees, on his recommendation, has approved the creation of a sixty fifth campus to be based in Manhattan: Neil D. Levin Graduate Institute of International Relations and Commerce with credit bearing courses to be offered in Fall 2004. Norman Goodman raised the issue of "marginal cost" in increasing enrollment, but there was no specific response.

David DeMarco, Assistant Vice-Chancellor for Resources and System Planning described the schedule for developing the budget. The trustees approve the Budget Request in November/December, the executive budget is released in January. Legislature reviews the budget and enacts it by April 1! The trustees approve the campus plans and the Fiscal Year begins July 1.  
**TRUSTEES CAN SWITCH FUNDS FROM ONE CAMPUS TO ANOTHER. IT IS PROVIDED IN EDUCATION LAW.**

The following is the 2002-03 estimate of funds: Total \$ 7.2 Billion  
(The following are in billions)

Community Colleges (State, Local County, Tuition)	1.3
Instructional Budget (Tuition & State Tax \$\$)	1.8
Indirect State Support (Debt Services & Fringe Benefits)	.8
Hospitals	1.2
Residence & Food	.5
Self - supporting Projects	1.0
Sponsored Programs	.6

The core budget is \$1.8 billion. As the enrollment grew estimated to be 402,111, 13,755 over last year and FTE number went up to 327,953, an increase of 10,461, the tuition revenue grew. An expenditure reduction of 1% is to help pay for the Retirement Incentive Program.

The UFS had created a Task Force on Rational Fiscal Policy. While the Final report will come at the Geneseo meeting in January, preliminary presentation was made by David DeMarco and Ram Chugh. It was clear that the direct support from state tax dollars has declined in real terms, while the tuition revenue has increased. A number of recommendations are likely to emerge such as a stabilization fund, no unfunded mandates, a coherent enrollment and tuition policy and TRUTH IN BUDGETING – State, SUNY and Campuses should provide open and consistent budgeting.

I believe I am speaking for all my colleagues, who attended the Plenary Meeting, that we would happily provide additional information, if you are interested.

Respectfully submitted by

Ram Srivastav